

- ज) 30 मई, 2018 को भारतीय प्रतिभूति और विनियम बोर्ड [सूचीबद्धता (लिस्टिंग) बाध्यताएँ और प्रकटीकरण अपेक्षाएँ] (दूसरा संशोधन) विनियम, 2018, अधिसूचना सं. सेबी/एल.ए.डी.-एन.आर.ओ./जी.एन./2018/13, द्वारा
- झ) 1 जून, 2018 को भारतीय प्रतिभूति और विनियम बोर्ड [सूचीबद्धता (लिस्टिंग) बाध्यताएँ और प्रकटीकरण अपेक्षाएँ] (तीसरा संशोधन) विनियम, 2018, अधिसूचना सं. सेबी/एल.ए.डी.-एन.आर.ओ./जी.एन./2018/21, द्वारा
- ञ) 8 जून, 2018 को भारतीय प्रतिभूति और विनियम बोर्ड [सूचीबद्धता (लिस्टिंग) बाध्यताएँ और प्रकटीकरण अपेक्षाएँ] (चौथा संशोधन) विनियम, 2018, अधिसूचना सं. सेबी/एल.ए.डी.-एन.आर.ओ./जी.एन./2018/24, द्वारा
- ट) सितम्बर 6, बाध्यताएँ और प्रकटीकरण (लिस्टिंग) सूचीबद्धता को भारतीय प्रतिभूति और विनियम बोर्ड 2018 ) [अपेक्षाएँ पाँचवाँ संशोधन विनियम (, 2018, अधिसूचना सं .सेबी30/2018/एन.जी/ओ.आर.एन-डी.ए.एल/, द्वारा
- ठ) 16 नवम्बर, बाध्यताएँ और प्रकटीकरण (लिस्टिंग) सूचीबद्धता को भारतीय प्रतिभूति और विनियम बोर्ड 2018 छठा) [अपेक्षाएँ संशोधन विनियम (, 2018, अधिसूचना सं .सेबी/2018/एन.जी/ओ.आर.एन-डी.ए.एल/47, द्वारा
- ड) 29 मार्च, 2019 को भारतीय प्रतिभूति और विनियम बोर्ड बाध्यताएँ और प्रकटीकरण (लिस्टिंग) सूचीबद्धता [अपेक्षाएँ संशोधन विनियम (, 2019, अधिसूचना सं .सेबी एल201/एन.जी/ओ.आर.एन-डी.ए.एल/9/07, द्वारा
- ढ) 7 मई, 2019 को भारतीय प्रतिभूति और विनियम बोर्ड बाध्यताएँ (लिस्टिंग) सूचीबद्धता] और प्रकटीकरण अपेक्षाएँ [दूसरा] संशोधन विनियम (, 2019, अधिसूचना सं .सेबी201/एन.जी/ओ.आर.एन-डी.ए.एल/9/12, द्वारा
- ण) 27 जून, 2019 को भारतीय प्रतिभूति और विनियम बोर्ड बाध्यताएँ और प्रकटीकरण (लिस्टिंग) सूचीबद्धता तीसरा) [अपेक्षाएँ संशोधन विनियम (, 2019, अधिसूचना सं .सेबी201/एन.जी/ओ.आर.एन-डी.ए.एल/9/22, द्वारा
- त) 29 जुलाई, 2019 को भारतीय प्रतिभूति और विनियम बोर्ड बाध्यताएँ और प्रकटीकरण (लिस्टिंग) सूचीबद्धता चौथा) [अपेक्षाएँ संशोधन विनियम (, 2019, अधिसूचना सं .सेबी201/जीएन/एनआरओ-एलएडी/9/28, द्वारा
- थ) 26 दिसम्बर, 2019 को भारतीय प्रतिभूति और विनियम बोर्ड बाध्यताएँ और प्रकटीकरण (लिस्टिंग) सूचीबद्धता पाँचवाँ) [अपेक्षाएँ संशोधन विनियम (, 2019, अधिसूचना सं .सेबीएल/ए.डी.एन-आर.ओ.जी/एन.201/9/45, द्वारा
- द) 10 जनवरी, 2020 को भारतीय प्रतिभूति और विनियम बोर्ड बाध्यताएँ और प्रकटीकरण (लिस्टिंग) सूचीबद्धता विनियम (संशोधन) [अपेक्षाएँ, 2020, अधिसूचना सं .सेबीएल/ए.डी.एन-आर.ओ.जी/एन./202002, द्वारा संशोधित हुए थे।

## SECURITIES AND EXCHANGE BOARD OF INDIA

### NOTIFICATION

Mumbai, the 5th August, 2020

#### Securities And Exchange Board of India (Listing Obligations And Disclosure Requirements) (Second Amendment) Regulations, 2020

**SEBI/LAD-NRO/GN/2020/25.**— In exercise of the powers conferred by section 11, sub-section (2) of section 11A and section 30 of the Securities and Exchange Board of India Act, 1992 (15 of 1992) read with section 31 of the Securities Contracts (Regulation) Act, 1956 (42 of 1956), the Board hereby makes the following regulations to further amend the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, namely:-

1. These regulations may be called the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Second Amendment) Regulations, 2020.
2. They shall come into force on the date of their publication in the Official Gazette.
3. In the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015,-

- I. In regulation 42,-
- a. in sub-regulation (1), the words and symbols “to all the stock exchange(s) where it is listed for the following purposes:”, shall be substituted with the words and symbols “for the following events to all the stock exchange(s) where it is listed or where stock derivatives are available on the stock of the listed entity or where listed entity’s stock form part of an index on which derivatives are available:”
  - b. in sub-regulation (1), the existing clause (e), shall be substituted with the following, namely,-  
“(e) corporate actions like mergers, de-mergers, splits, etc;”

AJAY TYAGI, Chairman

[ADV.T.-III/4/Exty./163/2020-21]

**Footnotes:**

1. The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 were published in the Gazette of India on 2<sup>nd</sup> September 2015 vide No. SEBI/LAD-NRO/GN/2015-16/013.
2. The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, were subsequently amended on:
  - a) December 22, 2015 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2015 vide notification no. SEBI/LAD-NRO/GN/2015-16/27.
  - b) May 25, 2016 by Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2016 vide notification no. SEBI/LAD-NRO/GN/ 2016-17/001.
  - c) July 8, 2016 by Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Second Amendment) Regulations, 2016 vide notification no. SEBI/ LAD-NRO/GN/2016-17/008.
  - d) January 4, 2017 by Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Third Amendment) Regulations, 2016 vide notification no. SEBI/ LAD-NRO/GN/2016-17/025.
  - e) February 15, 2017 by Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2017 vide notification no. SEBI/LAD/NRO/GN/2016-17/029.
  - f) March 6, 2017 by the Securities and Exchange Board of India (Payment of Fees and Mode of Payment) (Amendment) Regulations, 2017 vide Notification No. LAD-NRO/GN/2016- 17/037 read with March 29, 2017 by Securities and Exchange Board of India (Payment of Fees and Mode of Payment) (Amendment) Regulations, 2017 vide notification no. SEBI/LAD/NRO/GN/2016-17/38.
  - g) May 9, 2018 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2018 vide notification no. SEBI/LAD-NRO/GN/2018/10.
  - h) May 30, 2018 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Second Amendment) Regulations, 2018 vide notification no. SEBI/LAD-NRO/GN/2018/13.
  - i) June 1, 2018 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Third Amendment) Regulations, 2018 vide notification no. SEBI/LAD-NRO/GN/2018/21.
  - j) June 8, 2018 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018 vide notification no. SEBI/LAD-NRO/GN/2018/24.
  - k) September 6, 2018 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2018 vide notification no. SEBI/LAD-NRO/GN/2018/30.
  - l) November 16, 2018 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Sixth Amendment) Regulations, 2018 vide notification no. SEBI/LAD-NRO/GN/2018/47.
  - m) March 29, 2019 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2019 vide notification no. SEBI/LAD-NRO/GN/2019/07.
  - n) May 7, 2019 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Second Amendment) Regulations, 2019, vide notification no. SEBI/ LAD-NRO/GN/2019/12.
  - o) June 27, 2019 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Third Amendment) Regulations, 2019, vide notification no. SEBI/ LAD-NRO/GN/2019/22.

- p) July 29, 2019 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2019, vide notification no. SEBI/ LAD-NRO/GN/2019/28.
- q) December 26, 2019 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fifth Amendment) Regulations, 2019, vide notification no. SEBI/ LAD-NRO/GN/2019/45.
- r) January 10, 2020 by the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2020, vide notification no. SEBI/ LAD-NRO/GN/2020/02.